

# 國立中央大學著作彙編之學位論文注意事項

## Guidelines for Thesis by Publication at National Central University

114.06.18 教務會議通過

114.12.17 教務會議通過

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第一條 本注意事項依據本校「博士班、碩士班研究生學位考試細則」規定訂定之。

### Article 1

These guidelines are established per National Central University's "National Central University Regulations on the Degree Examinations for Postgraduate Students."

第二條 本校基於學術研究自由，為促進學生學位論文之系統性、延續性、深度與廣度，並建立學術誠信度，在符合本校「研究生畢業論文格式條例」之前提下，論文正文得以著作彙編形式為之，以下稱「著作彙編之學位論文」(Thesis by Publication, TBP)。

### Article 2

In accordance with the principle of academic freedom, and to enhance the systematic structure, continuity, depth, and breadth of students' theses while upholding academic integrity, students may submit a Thesis by Publication (TBP), provided it complies with National Central University's "Graduate Thesis Format Regulations."

第三條 「著作彙編之學位論文」係指學生於本校就讀期間內以作者身分，將已公開發表或已接受刊登於期刊、研討會之學術著作、專書篇章、專利等，依下列方式之一整合為其學位論文：

- 一、篇章集結式彙編論文(Compilation-based TBP)：將各篇著作以獨立章節方式收錄，內容幾無改動，並附以綜合論述與必要補充說明。
- 二、整合式彙編論文(Integrated TBP)：將多篇著作內容重新撰寫、重組或融合，整體表述為一體化之論文架構，章節中可能混合引用不同著作之內容。

### Article 3

A TBP refers to a thesis in which a student, during their period of enrollment at National Central University, compiles their academic works—such as journal articles, conference papers, book chapters, or patents—that have been published or accepted for publication. These works must be compiled into the thesis using one of the following two formats:

1. Compilation-based TBP: Each work is included as a separate chapter with minimal modification, accompanied by an integrative discussion and any necessary supplementary explanation.
2. Integrated TBP: The content of multiple works is rewritten, reorganized, or synthesized into a cohesive thesis structure, with individual chapters potentially incorporating material from different

works.

第四條 以著作彙編之學位論文獲得學位之採認準則，如學術著作收錄篇數、收錄年限、期刊或研討會等級、收錄內容應具備篇幅、該學生作為作者之排序及專利權歸屬比例等，得由各學院系所自行訂定之。

#### Article 4

Recognition criteria for a TBP—such as the number of works included, publication date, the ranking of journals or conferences, required length, authorship order, and patent ownership ratio—may be determined independently by each department, graduate institute, and college.

第五條 學生採取著作彙編方式撰寫論文者，應於與指導教授討論確認後，共同填寫「附表一：著作彙編之學位論文確認書」，明確勾選其採用之彙編體例，並於申請學位考試時檢附。

#### Article 5

Students choosing the TBP format must confirm this choice with their thesis advisor and jointly complete “Appendix I: Thesis by Publication Confirmation Form,” clearly indicating the selected format. The form must be submitted with the degree examination application.

第六條 著作彙編之學位論文除應具備傳統論文之必要部分，另須包括「研究主軸綜合論述」，說明各著作與學位論文之關聯性、各著作對應之章節位置及各著作資訊。

各篇著作須分別填寫附表二「學術著作共同作者貢獻聲明書」，敘明共同作者對該著作之個別具體貢獻。

以上資料均須於申請學位考試時檢附。

#### Article 6

In addition to the required components of a traditional thesis, a TBP must include a "Comprehensive Discussion Outlining the Central Research Theme," explaining the relationship between each included work and the degree thesis, the corresponding chapter placement of each work, and relevant publication information of each work.

For each included work, the student must fill out and submit “Appendix II: Co-author Contribution Declaration Form,” detailing each co-author’s specific contributions.

All of the above materials must be submitted at the time of application for the degree examination.

第七條 著作彙編之學位論文仍須進行論文比對：

- 一、若為篇章集結式彙編論文，應將各篇著作自論文中完整移除後進行相似度比對，比對結果應符合各系所訂定之相似度標準。
- 二、若為整合式彙編論文，因無法分離各篇著作內容，應以全文進行比對，指導教授應審核確認相似部分為學生已發表之作品內容，並於附表一聲明。其比對結果得容許較高之相似度，但仍不得超過 50%，且仍應遵守學術誠信原則，不得涉及抄襲。

#### Article 7

All TBPs must undergo plagiarism detection:

1. For Compilation-based TBPs, each included work must be removed before undergoing similarity checks. The results must meet the standards set by the respective department and graduate institute.
2. For Integrated TBPs, since the content cannot be separated, the full thesis must undergo similarity checks. The thesis advisor must confirm that the overlapping content corresponds to the student's previously published work and declare this in Appendix I. A higher similarity index is permissible, but it must not exceed 50%, and all academic integrity standards must be maintained—plagiarism is strictly prohibited.

第八條 論文考試舉行時，學生應熟稔著作彙編之學位論文的全部內容，並進行報告；不得以部分內容非屬己身執行或負責為由，規避回應。

#### Article 8

During the thesis defense, the student must be thoroughly familiar with all content in the TBP and present it accordingly. The student shall not refuse to respond to questions by claiming partial authorship or lack of involvement.

第九條 學位考試委員對著作彙編之學位論文，應審定該學生於彙集之學術著作中是否具備相當程度之貢獻、創新性與獨立研究能力，並符合本校及學術領域普遍接受之水準。

#### Article 9

The thesis examination committee must assess whether the student has demonstrated a substantial contribution, originality, and independent research ability within the compiled works and whether the thesis meets both the standards of National Central University and the general expectations of the academic field.

第十條 學位考試通過後繳交學位論文須依照「研究生畢業論文格式條例」，將附表一「著作彙編之學位論文確認書」附於學位論文中「論文指導教授推薦書」之後，以及附表二「學術著作共同作者貢獻聲明書」附於學位論文中「研究主軸綜合論述」之後。

#### Article 10

After passing the degree examination, the student must submit the final thesis per the “Graduate Thesis Format Regulations,” including: Appendix I: "Thesis by Publication Confirmation Form," placed immediately after the “Thesis Advisor’s Recommendation Letter,” and Appendix II: "Co-author Contribution Declaration Form," placed after the "[Comprehensive Discussion Outlining the Central Research Theme](#)."

第十一條 本注意事項經教務會議通過後實施，修正時亦同。

#### Article 11

These guidelines shall take effect upon approval by the Academic Affairs Committee. Any amendments shall follow the same procedure.

# 國立中央大學著作彙編之學位論文確認書

## National Central University Thesis by Publication Confirmation Form

本人\_\_\_\_\_依據本校「著作彙編之學位論文注意事項」第五條規定，於\_\_\_\_\_年\_\_\_\_\_月\_\_\_\_\_日與指導教授討論並取得共識：

在符合本校「研究生畢業論文格式條例」之前提下，本人論文正文之部分或全部以著作彙編形式為之，並遵守學校訂定著作彙編之學位論文注意事項獲得學位採認準則，並覈實填寫「學術著作共同作者貢獻聲明書」。

In accordance with Article 5 of National Central University's "Guidelines for Thesis by Publication," I, \_\_\_\_\_, discussed and reached an agreement with my advisor on \_\_\_ / \_\_\_ / \_\_\_ as follows:

Subject to compliance with National Central University's "Graduate Thesis Format Regulations," I confirm that part or all of my thesis will be presented in the form of a Thesis by Publication (TBP). I shall adhere to the university's recognition criteria for degrees awarded under the TBP format and truthfully complete the "Co-author Contribution Declaration Form."

- 本論文屬「篇章集結式彙編論文」（每篇著作單獨成章）  
This thesis is a Compilation-based TBP (each publication forms a separate chapter).
- 本論文屬「整合式彙編論文」（內容為多篇著作重新整合撰寫）  
This thesis is an Integrated TBP (multiple publications are reorganized and rewritten as an integrated thesis).

本人簽名： Student's Signature:	
學 號： Student ID:	
指導教授簽名： Thesis Advisor's Signature:	
共同指導教授簽名（無則免）： Co-advisor's Signature (if any):	
系所單位戳章： Official Seal of the Department/Institute:	

中 華 民 國 \_\_\_\_\_ 年 \_\_\_\_\_ 月 \_\_\_\_\_ 日

Date:

說明：自114學年度第一學期起，研究生於申請學位考試時，應填寫本表上傳至本校學位考試申請系統；並將本表附於學位論文中「論文指導教授推薦書」之後。

Note: Starting from the 2025 Fall Semester (Academic Year 114), graduate students must upload this form to the degree examination application system when applying for the degree examination. This form must also be included in the thesis immediately after the "Thesis Advisor's Recommendation Letter."

## 國立中央大學學術著作共同作者貢獻聲明書

## National Central University Co-author Contribution Declaration Form

基於學術研究誠信，「著作彙編之學位論文」學生（以下稱聲明人）茲聲明彙編學術著作之相關資訊及共同作者貢獻度。

In the spirit of academic integrity, the undersigned student (hereinafter referred to as "the Declarant") provides the following information regarding the publications compiled in the Thesis by Publication, as well as the contributions of co-authors.

## 一、聲明人相關資訊

## I. Declarant Information

(一) 學生姓名： 1. Student Name:
(二) 就讀系所： 2. Department / Graduate Institute:
(三) 學位論文名稱：（請自行填入學位論文標題） 3. Thesis Title (please enter full thesis title):

## 二、彙編著作之相關資訊

## II. Publication Details

各篇彙編著作之資訊 <sup>註</sup> Information on Each Compiled Work Included in a Thesis Chapter <sup>Note</sup>	
彙編著作為內容之論文章節 Chapters and Sections that Include Any Compiled Work	

## A類型：期刊或研討會之學術著作

## Type A: Journal or Conference Publications

期刊或研討會名稱 Journal/Conference Name	（請自行填入期刊名稱或研討會名稱）(Please specify the journal or conference names.)
著作標題 Title of Publication	（請自行填入著作標題）(Please specify the titles of publications.)
出版或發表情況 Publication/Presentation Status	<input type="checkbox"/> 已刊登或發表： 年 月 日。 連結網址： <a href="https://doi.org/xxxxxxxxxxxxxxxx">https://doi.org/xxxxxxxxxxxxxxxx</a> Published on (MM/DD/YYYY). DOI or URL: <a href="https://doi.org/xxxxxxxxxxxxxxxx">https://doi.org/xxxxxxxxxxxxxxxx</a> <input type="checkbox"/> 已接受刊登或發表（請附上接受證明）： 年 月 日。 Accepted (proof of acceptance attached), on (MM/DD/YYYY).
卷號及頁碼 Volume and Page Numbers	
「著作彙編之學位論文」作者（學生）就此著作之貢獻度聲明 Contribution Declaration of the Author (Student) of the TBP	
貢獻說明 Description of Contribution	

貢獻程度於整篇學術論文之百分比 Estimated Contribution (% of the total paper)				
<b>除聲明人以外之共同作者之相關訊息及分工事項</b> <b>Information on and Contributions of All Co-authors Other than the Declarant</b>				
作者排序 (請標明通訊作者) Author Order (Please indicate the corresponding author.)	姓名 Name	著作中登載作者所屬之單位 Affiliation as listed in the publication	分工事項(得加註貢獻度百分比) Specific Contributions (including % if applicable)	是否曾納入下述共同作者的學位論文或教師送審著作 Previously Included in Any Co-author's Thesis or Any Faculty Member's Submitted Works?
第一作者 First Author				
第二作者 Second Author				
第三作者 Third Author				

**B類型：專利**

**Type B: Patents**

專利類型 Patent Type	<input type="checkbox"/> 發明專利 Invention <input type="checkbox"/> 新型專利 Utility Model <input type="checkbox"/> 設計專利 Design
專利名稱 Patent Title	
創作人 Inventor	
專利權人 Assignee	
專利期間 Patent Term	

**C類型：專書(專書篇章)**

**Type C: Books/Book Chapters**

專書名稱 Book Title	
出版社 Publisher	
出版日期 Publication Date	
<b>「著作彙編之學位論文」作者(學生)就此專書(專書篇章)之貢獻度聲明</b> <b>Contribution Declaration of the Author (Student) of the Book (Chapter)</b>	
貢獻說明 Description of Contribution	
貢獻程度於整本或整篇著作之百分比 Estimated Contribution (% of the entire)	

