國立中央大學精進學位論文品質實施要點

Implementation Guidelines for Improving Thesis Quality at National Central University

113.1.10 教務會議通過

113.6.19 教務會議通過

Passed at the Academic Affairs Meeting on Jan. 10, 2024 Passed at the Academic Affairs Meeting on Jun. 19, 2024

第一條

國立中央大學(以下簡稱本校)為提升研究生學術倫理素養,精進學位論文品質,符合學術倫理規範,特依「學位授予法」、「專科以上學校學術倫理案件處理原則」及「國立中央大學學術倫理辦公室設置要點」之規定,訂定「國立中央大學精進學位論文品質實施要點」(以下簡稱本要點)。

Article 1

To enhance the academic ethics of graduate students, improve the quality of their theses, and conform to norms of academic ethics, National Central University (hereinafter referred to as "the University") hereby establishes the Implementation Guidelines for Improving Thesis Quality at National Central University (hereinafter referred to as "these Guidelines") per the provisions of the Degree Conferral Act, the Principles for Handling Academic Ethics Cases at Junior Colleges and Institutions of Higher Education, and the Guidelines for the Establishment of the Office of Research Integrity at National Central University.

第二條

研究生應修習學術倫理課程,以提升其研究倫理素養,使其具備從事學術研究工作所需正確倫理認知與態度。應修習課程另依本校「學術研究倫理教育課程實施辦法」之規定辦理。

Article 2

Graduate students are required to take academic ethics courses to enhance their research ethics literacy and develop the correct ethical cognition and attitudes necessary for academic and research work. The courses shall be conducted according to the provisions of the Regulations for the Implementation of the Academic Research Ethics Education Course at National Central University.

第三條

各系所除依本校「博士班、碩士班研究生學位考試細則」辦理學位考試以維護論文學術品質外,應建立包括每位教師可指導研究生人數、論文內容相似度比對通過標準、延後公開或不公開認定標準、以特殊條件遴聘口試委員之原則、學位論文是否符合系所專業等品質管考機制,經系所務會議及院務會議通過後,報教務處備查,並問知所屬師生。

Article 3

In addition to conducting degree examinations per the National Central

University Regulations on the Degree Examinations for Postgraduate Students, each department should establish quality assurance mechanisms, including the number of graduate students each faculty member can supervise, standards for passing the thesis content similarity checking, criteria for postponing the public disclosure or non-disclosure of theses, principles for selecting and appointing degree examination committee members under special conditions, and whether the thesis meets the professional standards of the department. These mechanisms shall be approved by department and college affairs meetings, reported to the Office of Academic Affairs for filing, and communicated to faculty and students.

- 第四條 本校依「學位授予法」所授予博、碩士學位之論文,如遭檢舉或舉發有違反 學術倫理情形時,應依本校「<u>碩博士學位論文</u>違反學術倫理案件處理原則」 (以下簡稱處理原則)之規定辦理。
- Article 4 If theses for doctoral and master's degrees awarded by the University per the Degree Conferral Act are reported for or accused of violating academic ethics, they shall be handled per "National Central University's Principles on Dealing with Academic Ethics Violations in Postgraduate Theses" (hereinafter referred to as "the Principles").
- 第五條 為維護學位論文品質,強化指導教授監督責任,各系所如有學位論文違反學術倫理,經本校學術研究誠信委員會依處理原則審查,如情節屬重大者或屬可能撤銷學位者,調查小組得進行指導教授責任調查,並將調查結果一併送學術研究誠信委員會備查。
- Article 5 To maintain thesis quality and strengthen thesis advisors' supervisory responsibilities, any violation of academic ethics in any department's or institute's theses shall be addressed. The University's Research Integrity Committee shall review such cases per the Principles. If the violation is severe or may result in degree revocation, the investigatory panel may conduct an inquiry into the thesis advisor's responsibility and submit the findings to the Research Integrity Committee for record-keeping.
- 第六條 各系所提報碩博士班增設計畫書,須呈現學位論文品保機制,包括學位論 文與系所專業性之檢核、學術倫理之自律規範、學位考試委員符合學位授 予法第8條及第10條之資格、學位論文延後公開或不予公開之審核等。
- Article 6 Proposals for establishing new postgraduate programs submitted by departments and institutes must present a thesis quality assurance mechanism, including

checks on theses' relevance to the expertise of the respective department or institute, disciplinary norms for academic ethics, qualifications of degree examination committee members required by Articles 8 and 10 of the Degree Conferral Act, and review of postponing the public disclosure or non-disclosure of theses.

第七條 學位論文有專業領域不符、提供不實之學位論文比對結果報告,或違反學 術倫理時,除了指導教授應負相應責任,所屬系所亦應檢討改進品保機制, 提交改善方案予教務處。

> 如有學位論文涉及學術倫理疑義、學術自律機制不良導致學位論文衍生品 保問題、學位論文資訊揭露不足等情事,得納入本校研究所招生名額分配 之參據。

> 如指導教授或所屬系所未妥為處理或不作為,經教務處通知限期改善,屆 期未改善者,得命該系所停招、減招或禁止該系所運用教育部核予本校之 獎補助款。

Article 7 In cases where a thesis does not match the professional field of its department or institute, where a falsified thesis comparison report is provided, or where academic ethics are violated, the involved thesis advisor shall take corresponding responsibilities, and the associated department or institute shall also review and improve its quality assurance mechanism and submit improvement proposals to the Office of Academic Affairs.

Matters such as having theses that involve doubts about academic ethics, having deficiencies in academic self-regulate mechanisms that lead to thesis quality issues, or having inadequate information disclosure in a thesis may be taken into consideration in the allocation of enrollment quotas for postgraduate programs at the University.

If the involved thesis advisors or associated departments/institutes fail to handle the matters properly or fail to take any action, they shall receive a notification from the Office of Academic Affairs for improvement within a specified period. Failure to show improvement by the end of the specified period may result in the suspension or reduction of enrollment quotas for the associated departments/institutes or the prohibition of their use of grants and subsidies granted by the Ministry of Education to the University.

第八條 本要點如有未盡事宜,悉依教育部及本校其他相關規定辦理。

Article 8 Any matters not covered in these Guidelines shall be handled per relevant regulations of the Ministry of Education and the University.

第九條 本要點經教務會議通過後實施,修正時亦同。

Article 9 These Guidelines shall be implemented upon approval by the Academic Affairs Meeting. The same procedure applies to their amendments.